



DA MARKET SECURITIES, INC.
 Unit 1105, PSE Tower, 5th Ave. Cor. 28th St.
 Bonifacio Global City, Taguig City, Philippines, 1634
 Tel. (+632) 887-6407 / (+632) 834-7765
 Email address: damarketsecurities@gmail.com
 Telefax (+632) 839-2268

CUSTOMER ACCOUNT INFORMATION FORM (CAIF)

Account Type Individual Joint or Joint and
 Credit Facility Cash Margin Discretionary

Customer Account No. _____
 NTS Account Code _____

PERSONAL INFORMATION	PRIMARY ACCOUNT HOLDER	SECONDARY ACCOUNT HOLDER
Last Name		
First Name		
Middle Name		
Nationality		
Date of Birth		
Place of Birth		
Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female	<input type="checkbox"/> Male <input type="checkbox"/> Female
Telephone/ Mobile No.		
Fax No.		
Email address		
Residential Address (No. & Street, Building, Town/District, City/Province, Postal/Zip Code, Country)		
Civil Status	<input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Separated <input type="checkbox"/> Widowed	<input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Separated <input type="checkbox"/> Widowed
Name of Spouse (if applicable)		

OTHER INFORMATION	PRIMARY ACCOUNT HOLDER	SECONDARY ACCOUNT HOLDER
Tax Identification No.		
SSS OR GSIS No.		
Employment Status	<input type="checkbox"/> Employed <input type="checkbox"/> Self-employed <input type="checkbox"/> Retired <input type="checkbox"/> Unemployed <input type="checkbox"/> Others _____	<input type="checkbox"/> Employed <input type="checkbox"/> Self-employed <input type="checkbox"/> Retired <input type="checkbox"/> Unemployed <input type="checkbox"/> Others _____
Occupation/Position Title		
Name of Employer/ Business		
Office Address		
Office Tel. No.		

DISCLOSURES	PRIMARY ACCOUNT HOLDER	SECONDARY ACCOUNT HOLDER
Are you an officer or director of a PSE listed Company?	<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, indicate Name of Company and Position	<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, indicate Name of Company and Position
Are you an employee or officer of a Broker/Dealer?	<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, indicate Name of Company and Position	<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, indicate Name of Company and Position
Other Brokers you have an account?	1.	1.
	2.	2.
	3.	3.

FINANCIAL & INVESTMENT PROFILE	PRIMARY ACCOUNT HOLDER	SECONDARY ACCOUNT HOLDER
Assets	<input type="checkbox"/> < 500,000 <input type="checkbox"/> < 1 million <input type="checkbox"/> < 5 million <input type="checkbox"/> < 10 million <input type="checkbox"/> over 10 million	<input type="checkbox"/> < 500,000 <input type="checkbox"/> < 1 million <input type="checkbox"/> < 5 million <input type="checkbox"/> < 10 million <input type="checkbox"/> over 10 million
Net Worth	<input type="checkbox"/> < 500,000 <input type="checkbox"/> < 1 million <input type="checkbox"/> < 5 million <input type="checkbox"/> < 10 million <input type="checkbox"/> over 10 million	<input type="checkbox"/> < 500,000 <input type="checkbox"/> < 1 million <input type="checkbox"/> < 5 million <input type="checkbox"/> < 10 million <input type="checkbox"/> over 10 million
Annual Income	<input type="checkbox"/> < 500,000 <input type="checkbox"/> < 1 million <input type="checkbox"/> < 5 million <input type="checkbox"/> < 10 million <input type="checkbox"/> over 10 million	<input type="checkbox"/> < 500,000 <input type="checkbox"/> < 1 million <input type="checkbox"/> < 5 million <input type="checkbox"/> < 10 million <input type="checkbox"/> over 10 million
Sources of Income	<input type="checkbox"/> Salaries <input type="checkbox"/> Business <input type="checkbox"/> Investments <input type="checkbox"/> Retirement <input type="checkbox"/> Others _____	<input type="checkbox"/> Salaries <input type="checkbox"/> Business <input type="checkbox"/> Investments <input type="checkbox"/> Retirement <input type="checkbox"/> Others _____
Investment Experience	<input type="checkbox"/> None <input type="checkbox"/> Limited <input type="checkbox"/> Good <input type="checkbox"/> Extensive	<input type="checkbox"/> None <input type="checkbox"/> Limited <input type="checkbox"/> Good <input type="checkbox"/> Extensive
Investment Objectives <i>List in order of priority. (1-4)</i>	_____ Growth _____ Long term investment _____ Capital preservation _____ Speculation	_____ Growth _____ Long term investment _____ Capital preservation _____ Speculation

CONFIRMATIONS AND COMMUNICATIONS

On January 09, 2014 Securities and Exchange Commission granted DA Market Securities, Inc. (DMSI) exemptive relief from SRC Rule 30.2-2 and SRC Rule 52.1-8. Hence, all confirmation invoices, statement of accounts and all other communications shall be sent via electronic mail to customer's email address.

CUSTOMER BANK ACCOUNT DETAILS

Bank Account Name	Bank Account Number
Bank Name	Bank Address

I/We declare that the above information provided by me/us is true and correct. I/We agree to abide by the company's terms and conditions governing the account and the relevant rules and regulations governing the respective services linked to my/our account which have been furnished to me/us.

PRIMARY ACCOUNT HOLDER	SECONDARY ACCOUNT HOLDER
Full Name:	Full Name:
Signature:	Signature:
Date Signed:	Date Signed:

HOW DID YOU LEARN ABOUT iTRADE? (Choose all that apply)

iTRADE Website
 Social Media
 Google Search
 Seminar / Event
 Others _____

APPLICATION REQUIREMENTS

- A. BASIC REQUIREMENTS:**
- Minimum cash/check deposit to DA Market Securities, Inc. for Php 100,000.00.
 - Photocopy of one (1) identity document (ID) issued by the Philippine Government (e.g. passport, driver's license, SSS/GSIS ID, Professional Regulation Commission (PRC) ID, and Comelec Voter's ID. (Please present original for verification) for each account; or two (2) secondary IDs (e.g. School ID with picture, Credit Card with Picture) for each account.
 - Two (2) copies of Specimen Signature Cards; and
 - Securities Trading Agreement duly signed.
- B. ADDITIONAL REQUIREMENTS FOR THE FOLLOWING:**
- Resident foreigners, include a photocopy of:
 - Alien certificate of registration (ACR) issued by the Bureau of Immigration; or
 - A Certification to engage in business or working permit issued by the Department of Labor & Employment (DOLE)
 - Non-Resident Foreigners – include the following:
 - Proof of Income Certified by Employer; and
 - Identity Documents authenticated by Philippine Embassy or Consulate.

FOR DA MARKET SECURITIES, INC. USE ONLY.

Salesman/Referred by:	Processed by:	Date Opened:	Approved by:
-----------------------	---------------	--------------	--------------

DA MARKET SECURITIES, INC.

Securities Trading Agreement

Dear Sirs:

This is to confirm our understanding that your company and its agent will act as my broker for my transactions in securities. I also confirm that all my order for purchase or sale of securities to be facilitated or executed by your company shall be subject to the rules, regulations, customs and usages of the Philippine Stock Exchange and its clearing houses, the rules, regulations and rulings of the Securities and Exchange Commission and the Central Bank, the laws of the Republic of the Philippines, and the following terms and conditions:

1. All the securities purchased by your company on my behalf and for my account(s) shall be registered in the name of your company until I have fully paid the purchase price. I shall pay the purchase price not later than three (3) business days from the purchase date even without written or oral demand.

2. All securities, including related contracts, held or handled by your company in any of my individual or joint accounts, shall be collateral for my liabilities to your company. Moreover, your company shall have the right to transfer funds or securities from any of my accounts to another when in your judgment such transfer may be necessary to comply with my obligations or to settle any of my liabilities. All such securities may, from time to time, and without notice, be pledged and repledged by your company, either separately or jointly with other securities from other accounts, for any amount due and demandable in your favor in any of my accounts, or for even a greater amount, without retaining in your possession or control the same amount of similar securities.

3. In case I fail to pay the purchase price in full within three (3) business days, or in the event of my death, or whenever in your sole discretion you consider it necessary for your protection, I authorize your company to sell any or all securities in my individual and joint accounts which are pledged as collateral for the payment of my liabilities to your company. For this purpose, I warrant that all such securities are free from any lien or encumbrance. In the event that there are liens or encumbrances preventing subsequent and effective negotiation of the said securities, your company may buy back the said securities and collect from me whatever amount it is entitled as a result of such of such buy back.

Furthermore, I authorize your company to buy securities to cover for any short selling in my account(s), to transfer funds or securities from any of my account(s) to another and to close outstanding obligations.

4. For the purpose of selling, buying or performing other acts pursuant to this agreement, I appoint DA Market Securities, Inc., its successors and assigns, as my attorney-in-fact with full power and authority: (a) to sell and buy securities, or act, for any of my individual or joint account(s); (b) to negotiate and agree upon the price of securities; (c) to execute bills of sale, receipts, deeds of assignment of rights, titles and interests to the purchaser(s) thereof, and other necessary instruments or documents; and (d) to deliver and accept delivery of stock certificates or instruments of assignment, or both. For this reason, I consent to and ratify all acts and things which Da Market Securities, Inc. may do or cause to be done on my behalf and in accordance with this agreement.

5. In case I sign this agreement with other person(s), our obligations under this agreement shall be joint and several.

6. I shall not hold your company liable for any loss or damage directly or indirectly caused by government prohibitions or restrictions, exchange or market rulings, fluctuations in market prices, suspension of trading, war, public uprisings, calamities, strikes and other circumstances beyond your control or which are considered fortuitous events.

7. This agreement shall cover all accounts which I may open or reopen with your company, The terms and conditions herein stated shall likewise apply and inure to the benefit of your affiliates, successors, whether by merger, consolidation or otherwise, and assigns, including their respective agents. This agreement shall likewise bind my heirs, executors, administrators, successors and assigns.

8. Your company shall have the right to amend or modify this agreement. Any amendment shall be effective on a date to be fixed by your company, which shall not be earlier than thirty (30) days from the date the notice of such amendment was delivered to my address appearing in your records.

9. If any provision or condition under this agreement is held invalid or unenforceable by any court, tribunal or administrative body, such invalidity or unenforceability shall not affect the other provisions and conditions of this agreement. Moreover, the remaining portion of this agreement shall be interpreted and implemented as if the invalid or unenforceable provisions or conditions are not included.

10. Any of my unpaid and overdue account shall bear interest at one-tenth of one (1/10 of 1%) per centum per day or the prevailing market interest rate, whichever is higher, from the date they become delinquent or overdue. In case any litigation arises from the enforcement of this agreement, your company shall be entitled to attorney's fees and collection expenses, which shall not be less than twenty five (25%) per centum of the total amount involved. The exclusive venue for any legal action arising from this agreement shall be in the proper courts of Taguig City, Metro Manila.

11. All written communications, whether by mail, telegraph, facsimile, courier or otherwise, sent to my address which appears in your record shall constitute a valid and affective delivery, it being understood, that in the event of failure to notify your company in writing of any change in address, all communications directed and sent to my last known address appearing in your record shall also constitute a valid and effective delivery. All communications sent to my last known address shall be conclusive as to their correctness in the absence of any written objection received by your company within twenty four (24) hours from the time the communications are delivered to said address.

12. This agreement shall be in force and effect until a written notice of revocation is received by your company. In case of such revocation, this agreement shall continue to be valid and binding as to the transactions entered prior thereto.

Very truly yours,

DATE

CONFORME:

DA MARKET SECURITIES, INC.

SIGNATURE OVER PRINTED NAME

BY: _____

Referred by: _____ Salesperson : _____

DA MARKET SECURITIES, INC. Unit 1105, PSE Tower, 5 th Ave. Cor. 28 th St. Bonifacio Global City, Taguig City, Philippines,1634		SPECIMEN SIGNATURE CARD	
Account Name (<i>Primary Account Holder</i>)		Account No.	
Account Name (<i>Secondary Account Holder</i>)			
Address: (<i>No. & Street, Building, Town/District, City/Province,Postal/Zip Code, Country</i>)			
Account Type <input type="checkbox"/> Individual <input type="checkbox"/> Joint or <input type="checkbox"/> Joint and <input type="checkbox"/> Others		<input type="checkbox"/> Cash <input type="checkbox"/> Margin	
Telephone No.	TIN	Citizenship	
Signature Requirement <input type="checkbox"/> Any One <input type="checkbox"/> Any two <input type="checkbox"/> All <input type="checkbox"/> Others			
Primary Account Holder		Secondary Account Holder	
Printed Name _____		Printed Name _____	
Please sign twice (2x)		Please sign twice (2x)	
1. _____		1. _____	
2. _____		2. _____	
IMPORTANT: Pursuant to the regulations of the Securities and Exchange Commission no certificate can be issued to a buyer unless he/she signs and indicates his citizenship on this card, with respect to street certificates, the citizenship of the beneficial owner and not of the broker should be indicated. Please fill up and return two (2) copies of this card to DA Market Securities Inc. (DMSI)		Signature verified by: DA MARKET SECURITIES, INC.	

DA MARKET SECURITIES, INC. Unit 1105, PSE Tower, 5 th Ave. Cor. 28 th St. Bonifacio Global City, Taguig City, Philippines,1634		SPECIMEN SIGNATURE CARD	
Account Name (<i>Primary Account Holder</i>)		Account No.	
Account Name (<i>Secondary Account Holder</i>)			
Address: (<i>No. & Street, Building, Town/District, City/Province,Postal/Zip Code, Country</i>)			
Account Type <input type="checkbox"/> Individual <input type="checkbox"/> Joint or <input type="checkbox"/> Joint and <input type="checkbox"/> Others		<input type="checkbox"/> Cash <input type="checkbox"/> Margin	
Telephone No.	TIN	Citizenship	
Signature Requirement <input type="checkbox"/> Any One <input type="checkbox"/> Any two <input type="checkbox"/> All <input type="checkbox"/> Others			
Primary Account Holder		Secondary Account Holder	
Printed Name _____		Printed Name _____	
Please sign twice (2x)		Please sign twice (2x)	
3. _____		3. _____	
4. _____		4. _____	
IMPORTANT: Pursuant to the regulations of the Securities and Exchange Commission no certificate can be issued to a buyer unless he/she signs and indicates his citizenship on this card, with respect to street certificates, the citizenship of the beneficial owner and not of the broker should be indicated. Please fill up and return two (2) copies of this card to DA Market Securities Inc. (DMSI)		Signature verified by: DA MARKET SECURITIES, INC.	