



**DA MARKET SECURITIES, INC.**  
 Unit 1105, PSE Tower, 5<sup>th</sup> Ave. Cor. 28<sup>th</sup> St.  
 Bonifacio Global City, Taguig City, Philippines, 1634  
 Tel. (+632) 8887-6407 / (+632) 8834-7765  
 Email address: helpdesk@itrade.ph

PSE Trading Participant and Member of SCCP and SIPP

**CORPORATE / NON-INDIVIDUAL ACCOUNT INFORMATION FORM**

Account Type  iTRADE LITE  iTRADE PRO  
 Cash  Margin

Customer Account No. \_\_\_\_\_  
 NTS Account Code \_\_\_\_\_

Business/Corporate Name			
Company Type <input type="checkbox"/> Single Proprietorship <input type="checkbox"/> Corporation <input type="checkbox"/> Corporation – Foreign <input type="checkbox"/> National Government <input type="checkbox"/> Partnership/Association <input type="checkbox"/> Cooperatives <input type="checkbox"/> Government <input type="checkbox"/> Local Government			
Business Address (No. & Street, Building, Town/District, City/Province, Country)			Zip code
SEC Registration No.	DTI Registration No.	Nature of Business	Years in Operation
Tax Identification (TIN)	Email Address	Office Tel. /Facsimile No.	Mobile Nos.
AUTHORIZED OFFICER(S) RESPONSIBLE FOR THE ACCOUNT <input type="checkbox"/> any one <input type="checkbox"/> any two <input type="checkbox"/> others			
1.	Position -		
2.	Position -		
3.	Position -		
4.	Position -		
5.	Position -		
<b>BANK ACCOUNT DETAILS:</b>			
Back Account Name		Bank Account No.	
Bank Name		Bank Address	
<b>CONFIRMATIONS AND COMMUNICATIONS:</b>			
Mailing of Invoices, Statement of Accounts and other Communications: <input type="checkbox"/> Courier <input type="checkbox"/> electronic Mail <input type="checkbox"/> Facsimile			
<b>FINANCIAL AND INVESTMENT PROFILE OF THE COMPANY</b>			
Assets <input type="checkbox"/> <1 Million <input type="checkbox"/> <5 Million <input type="checkbox"/> <10 Million <input type="checkbox"/> over 10 Million	Net Worth <input type="checkbox"/> <1 Million <input type="checkbox"/> <5 Million <input type="checkbox"/> < 10 Million <input type="checkbox"/> over 10 Million	Gross Annual Income <input type="checkbox"/> < 1 Million <input type="checkbox"/> < 5 Million <input type="checkbox"/> < 10 Million <input type="checkbox"/> over 10 Million	Investment Experience <input type="checkbox"/> None <input type="checkbox"/> Limited <input type="checkbox"/> Good <input type="checkbox"/> Extensive
Investment Objective (1-4 order of priority) <input type="checkbox"/> Capital Preservation <input type="checkbox"/> Long-term investment <input type="checkbox"/> Growth <input type="checkbox"/> Speculation			
<b>DISCLOSURES:</b>			
Are any of the directors or shareholders of the corporation a stockholder, employee or agent of a Broker/Dealer registered with the Securities and Exchange Commission? Yes <input type="checkbox"/> No <input type="checkbox"/>			
If yes, please indicate name(s) 1. _____ 2. _____			
Signature(s)			
_____ Signatory No. 1	_____ Date	_____ Signatory No. 2	_____ Date
_____ Signatory No. 3		_____ Date	
<b>APPLICATION REQUIREMENTS</b>			
1. Photocopy of each of the following documents duly certified by the corporate secretary: 1.1. SEC Registration 1.2. Articles of Incorporation, Partnership or its equivalent. 1.3. By – laws of the corporation 1.4. Latest General Information Sheet (GIS) with SEC acknowledgement 1.5. Latest Audited Financial Statements with BIR and SEC acknowledgement 2. Notarized Board Resolution authorizing the corporation to open an account with DA Market Sec. Inc. and the designated officer(s) authorized to transact and trade in behalf of the corporation. 3. Photocopy of one (1) government issued identification document of the authorized signatories/officers (e.g. passport, driver's License, SSS/GSIS ID, PRC ID or Voter's ID) 4. Two (2) copies of Specimen Signature Cards of the authorized officers; 5. Online Securities Trading Agreement duly signed.			
<b>FOR DMSI USE ONLY:</b>			
Salesman/Referred by:	Processed by:	Date Opened:	Approved by:

# DA MARKET SECURITIES, INC.

## Securities Trading Agreement

Dear Sirs:

This is to confirm our understanding that your company and its agent will act as my broker for my transactions in securities. I also confirm that all my order for purchase or sale of securities to be facilitated or executed by your company shall be subject to the rules, regulations, customs and usages of the Philippine Stock Exchange and its clearing houses, the rules, regulations and rulings of the Securities and Exchange Commission and the Central Bank, the laws of the Republic of the Philippines, and the following terms and conditions:

1. All the securities purchased by your company on my behalf and for my account(s) shall be registered in the name of your company until I have fully paid the purchase price. I shall pay the purchase price not later than three (3) business days from the purchase date even without written or oral demand.

2. All securities, including related contracts, held or handled by your company in any of my individual or joint accounts, shall be collateral for my liabilities to your company. Moreover, your company shall have the right to transfer funds or securities from any of my accounts to another when in your judgment such transfer may be necessary to comply with my obligations or to settle any of my liabilities. All such securities may, from time to time, and without notice, be pledged and repledged by your company, either separately or jointly with other securities from other accounts, for any amount due and demandable in your favor in any of my accounts, or for even a greater amount, without retaining in your possession or control the same amount of similar securities.

3. In case I fail to pay the purchase price in full within three (3) business days, or in the event of my death, or whenever in your sole discretion you consider it necessary for your protection, I authorize your company to sell any or all securities in my individual and joint accounts which are pledged as collateral for the payment of my liabilities to your company. For this purpose, I warrant that all such securities are free from any lien or encumbrance. In the event that there are liens or encumbrances preventing subsequent and effective negotiation of the said securities, your company may buy back the said securities and collect from me whatever amount it is entitled as a result of such of such buy back.

Furthermore, I authorize your company to buy securities to cover for any short selling in my account(s), to transfer funds or securities from any of my account(s) to another and to close outstanding obligations.

4. For the purpose of selling, buying or performing other acts pursuant to this agreement, I appoint DA Market Securities, Inc., its successors and assigns, as my attorney-in-fact with full power and authority: (a) to sell and buy securities, or act, for any of my individual or joint account(s); (b) to negotiate and agree upon the price of securities; (c) to execute bills of sale, receipts, deeds of assignment of rights, titles and interests to the purchaser(s) thereof, and other necessary instruments or documents; and (d) to deliver and accept delivery of stock certificates or instruments of assignment, or both. For this reason, I consent to and ratify all acts and things which Da Market Securities, Inc. may do or cause to be done on my behalf and in accordance with this agreement.

5. In case I sign this agreement with other person(s), our obligations under this agreement shall be joint and several.

6. I shall not hold your company liable for any loss or damage directly or indirectly caused by government prohibitions or restrictions, exchange or market rulings, fluctuations in market prices, suspension of trading, war, public uprisings, calamities, strikes and other circumstances beyond your control or which are considered fortuitous events.

7. This agreement shall cover all accounts which I may open or reopen with your company. The terms and conditions herein stated shall likewise apply and inure to the benefit of your affiliates, successors, whether by merger, consolidation or otherwise, and assigns, including their respective agents. This agreement shall likewise bind my heirs, executors, administrators, successors and assigns.

8. Your company shall have the right to amend or modify this agreement. Any amendment shall be effective on a date to be fixed by your company, which shall not be earlier than thirty (30) days from the date the notice of such amendment was delivered to my address appearing in your records.

9. If any provision or condition under this agreement is held invalid or unenforceable by any court, tribunal or administrative body, such invalidity or unenforceability shall not affect the other provisions and conditions of this agreement. Moreover, the remaining portion of this agreement shall be interpreted and implemented as if the invalid or unenforceable provisions or conditions are not included.

10. Any of my unpaid and overdue account shall bear interest at one-tenth of one (1/10 of 1%) per centum per day or the prevailing market interest rate, whichever is higher, from the date they become delinquent or overdue. In case any litigation arises from the enforcement of this agreement, your company shall be entitled to attorney's fees and collection expenses, which shall not be less than twenty five (25%) per centum of the total amount involved. The exclusive venue for any legal action arising from this agreement shall be in the proper courts of Taguig City, Metro Manila.

11. All written communications, whether by mail, telegraph, facsimile, courier or otherwise, sent to my address which appears in your record shall constitute a valid and effective delivery, it being understood, that in the event of failure to notify your company in writing of any change in address, all communications directed and sent to my last known address appearing in your record shall also constitute a valid and effective delivery. All communications sent to my last known address shall be conclusive as to their correctness in the absence of any written objection received by your company within twenty four (24) hours from the time the communications are delivered to said address.

12. This agreement shall be in force and effect until a written notice of revocation is received by your company. In case of such revocation, this agreement shall continue to be valid and binding as to the transactions entered prior thereto.

Very truly yours,

DATE

CONFORME:

DA MARKET SECURITIES, INC.

\_\_\_\_\_  
Name and Signature of Authorized Signatory 1

\_\_\_\_\_  
Name and Signature of Authorized Signatory 2

BY: \_\_\_\_\_

Referred by: \_\_\_\_\_ Salesperson : \_\_\_\_\_

<b>DA MARKET SECURITIES, INC.</b> Unit 1105, PSE Tower, 5 <sup>th</sup> Ave. Cor. 28 <sup>th</sup> St. Bonifacio Global City, Taguig City, Philippines, 1634		<b>SPECIMEN SIGNATURE CARD          CORPORATE</b>	
Account Name		Account No.	
Address: <i>(No. &amp; Street, Building, Town/District, City/Province, Postal/Zip Code, Country)</i>			
Telephone No.	TIN	Citizenship	
Signature Requirement <input type="checkbox"/> Any One <input type="checkbox"/> Any two <input type="checkbox"/> All <input type="checkbox"/> Others			
Please sign thrice (3x)			
Name & Position of Signatory No. 1		Name & Position of Signatory No. 2	
1. _____		1. _____	
2. _____		2. _____	
3. _____		3. _____	
Please sign thrice (3x)			
Name & Position of Signatory No. 3		Name & Position of Signatory No. 4	
1. _____		1. _____	
2. _____		2. _____	
3. _____		3. _____	
Please sign thrice (3x)			
Name & Position of Signatory No. 5		Name & Position of Signatory No. 6	
1. _____		1. _____	
2. _____		2. _____	
3. _____		3. _____	
<b>IMPORTANT:</b> Pursuant to the regulations of the Securities and Exchange Commission no certificate can be issued to a buyer unless he/she signs and indicates his citizenship on this card, with respect to street certificates, the citizenship of the beneficial owner and not of the broker should be indicated. Please fill up and return two (2) copies of this card to DA Market Securities Inc. (DMSI)		Signature verified by:  DA MARKET SECURITIES, INC.	

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Account Name		Account No.	
Address: <i>(No. &amp; Street, Building, Town/District, City/Province, Postal/Zip Code, Country)</i>			
Telephone No.	TIN	Citizenship	
Signature Requirement <input type="checkbox"/> Any One <input type="checkbox"/> Any two <input type="checkbox"/> All <input type="checkbox"/> Others			
Please sign thrice (3x)			
Name & Position of Signatory No. 1		Name & Position of Signatory No. 2	
1. _____		1. _____	
2. _____		2. _____	
3. _____		3. _____	
Please sign thrice (3x)			
Name & Position of Signatory No. 3		Name & Position of Signatory No. 4	
1. _____		1. _____	
2. _____		2. _____	
3. _____		3. _____	
Please sign thrice (3x)			
Name & Position of Signatory No. 5		Name & Position of Signatory No. 6	
1. _____		1. _____	
2. _____		2. _____	
3. _____		3. _____	
<b>IMPORTANT:</b> Pursuant to the regulations of the Securities and Exchange Commission no certificate can be issued to a buyer unless he/she signs and indicates his citizenship on this card, with respect to street certificates, the citizenship of the beneficial owner and not of the broker should be indicated. Please fill up and return two (2) copies of this card to DA Market Securities Inc. (DMSI)		Signature verified by:  DA MARKET SECURITIES, INC.	



**DATA PRIVACY STATEMENT**

DA MARKET SECURITIES, INC. (DMSI) with the aim to live up and promote the culture of privacy within the organization, is compliant and dedicated to carry out the mandatory requirements of Data Privacy to safeguard and preserve your Personal Data (or “personal information, personal sensitive information or privileged information”).

Pursuant to the Data Privacy Act of 2012 (RA 10173) and its Implementing Rules and Regulations (IRR), DMSI also joins the privacy march to meet the full extent of data privacy and protection, exercise compliance and demonstrate respect of your Rights: to be informed, to object, to access, to ensure or blocking, to file damages and the right to data portability and transfer as defined in Sec. 16. Rights of the Data Subject.

Your personal data (personal information, sensitive personal information or privileged information) is invaluable to us and DMSI is duty bound to develop applicable policies and implement guidelines to ensure that you as Data Subjects (“otherwise known as Customers”) are completely made aware and well-informed on how your personal information will be processed, where it will be stored and for how long, who do we disclose or share it to with and how will the Company securely delete and dispose them of when no longer needed.

Under the rules of transparency, legitimacy and proportionality, DMSI shall COLLECT, USE and ACCESS personal data such as your *name, previous employment information, date of birth, place of birth, gender, nationality, civil status, permanent & present address/es, contact number (mobile and land phone), email address, TIN, SSS/GSIS, Government Issued documents*, in the furtherance of our customer-broker relationships (account opening, account payout, account closure and other transactions meeting with in the company).

DMSI shall also Store and Retain your Personal Data in paper and electronic forms, in the Company’s Customer Account Information File System (CAIF) and other depository system within the duration of our broker-customer relationships, and will be stored for a minimum period of five (5) years from the time of Account closure. Corollary, the DMSI shall responsibly DELETE, DISPOSE OF and DESTROY said personal data to the extent provided by the relevant retention policy.

Finally, DMSI will SHARE and DISCLOSE said information in the furtherance of its business and as required by existing laws and regulations, and will exercise protection measures for security and control access by authorized personnel officers to preserve the Confidentiality, Availability and Integrity of your personal data (paper/electronic/recorded forms) under the requirements of Sec. 21. Principle of Accountability.

Should you have any questions and or concerns regarding this Privacy Statement, DMSI’s use of your Personal DATA, or your rights in relation thereto under the Data Privacy Act of 2012, please do not hesitate to contact our Data Protection Officer at the following No. (+632)8887-5457: 639178633119 or email [fmcaling@houseofdavidgroup.ph](mailto:fmcaling@houseofdavidgroup.ph).

By providing your Personal Data to DMSI, you acknowledge that you have read, understood and agree to all the terms as set forth in this Privacy Statement.

\_\_\_\_\_  
Authorized Officer Signature Over Printed Name

\_\_\_\_\_  
Authorized Officer Signature Over Printed Name