



DA MARKET SECURITIES, INC.
 Unit 1105, PSE Tower, 5th Ave. Cor. 28th St.
 Bonifacio Global City, Taguig City, Philippines, 1634
 Tel. (+632) 887-6407 / (+632) 834-7765
 Email address: damarketsecurities@gmail.com
 Telefax (+632) 839-2268

CORPORATE/NON – INDIVIDUAL ACCOUNT INFORMATION FORM

Account Type Cash Margin Discretionary

Customer Account No. _____

NTS Account Code _____

Business/Corporate Name _____

Company Type Single Proprietorship Corporation Corporation – Foreign National Government
 Partnership/Association Cooperatives Government Local Government

Business Address (No. & Street, Building, Town/District, City/Province, Country) _____ Zip code _____

SEC Registration No. _____ DTI Registration No. _____ Nature of Business _____ Years in Operation _____

Tax Identification (TIN) _____ Email Address _____ Office Tel. /Facsimile No. _____ Mobile Nos. _____

AUTHORIZED OFFICER(S) RESPONSIBLE FOR THE ACCOUNT any one any two others

1.	Position -
2.	Position -
3.	Position -
4.	Position -
5.	Position -

BANK ACCOUNT DETAILS:

Back Account Name _____ Bank Account No. _____

Bank Name _____ Bank Address _____

CONFIRMATIONS AND COMMUNICATIONS:

Mailing of Invoices, Statement of Accounts and other Communications:
 Courier electronic Mail Facsimile

FINANCIAL AND INVESTMENT PROFILE OF THE COMPANY

Assets	Net Worth	Gross Annual Income	Investment Experience	Investment Objective (1-4 order of priority)
<input type="checkbox"/> <1 Million	<input type="checkbox"/> <1 Million	<input type="checkbox"/> < 1 Million	<input type="checkbox"/> None	_____ Capital Preservation
<input type="checkbox"/> <5 Million	<input type="checkbox"/> <5 Million	<input type="checkbox"/> < 5 Million	<input type="checkbox"/> Limited	_____ Long-term investment
<input type="checkbox"/> <10 Million	<input type="checkbox"/> < 10 Million	<input type="checkbox"/> < 10 Million	<input type="checkbox"/> Good	_____ Growth
<input type="checkbox"/> over 10 Million	<input type="checkbox"/> over 10 Million	<input type="checkbox"/> over 10 Million	<input type="checkbox"/> Extensive	_____ Speculation

DISCLOSURES:

Are any of the directors or shareholders of the corporation a stockholder, employee or agent of a Broker/Dealer registered with the Securities and Exchange Commission? Yes No

If yes, please indicate name(s) 1. _____ 2. _____

Signature(s) _____

 Signatory No. 1 Date Signatory No. 2 Date Signatory No. 3 Date

APPLICATION REQUIREMENTS

- Photocopy of each of the following documents duly certified by the corporate secretary:
 - SEC Registration
 - Articles of Incorporation, Partnership or its equivalent.
 - By – laws of the corporation
 - Latest General Information Sheet (GIS) with SEC acknowledgement
 - Latest Audited Financial Statements with BIR and SEC acknowledgement
- Notarized Board Resolution authorizing the corporation to open an account with DA Market Sec. Inc. and the designated officer(s) authorized to transact and trade in behalf of the corporation.
- Photocopy of one (1) government issued identification document of the authorized signatories/officers (e.g. passport, driver's License, SSS/GSIS ID, PRC ID or Voter's ID)
- Two (2) copies of Specimen Signature Cards of the authorized officers;
- Online Securities Trading Agreement duly signed.

FOR DMSI USE ONLY:

Salesman/Referred by: _____ Processed by: _____ Date Opened: _____ Approved by: _____

DA MARKET SECURITIES, INC.

Securities Trading Agreement

Dear Sirs:

This is to confirm our understanding that your company and its agent will act as my broker for my transactions in securities. I also confirm that all my order for purchase or sale of securities to be facilitated or executed by your company shall be subject to the rules, regulations, customs and usages of the Philippine Stock Exchange and its clearing houses, the rules, regulations and rulings of the Securities and Exchange Commission and the Central Bank, the laws of the Republic of the Philippines, and the following terms and conditions:

1. All the securities purchased by your company on my behalf and for my account(s) shall be registered in the name of your company until I have fully paid the purchase price. I shall pay the purchase price not later than three (3) business days from the purchase date even without written or oral demand.

2. All securities, including related contracts, held or handled by your company in any of my individual or joint accounts, shall be collateral for my liabilities to your company. Moreover, your company shall have the right to transfer funds or securities from any of my accounts to another when in your judgment such transfer may be necessary to comply with my obligations or to settle any of my liabilities. All such securities may, from time to time, and without notice, be pledged and repledged by your company, either separately or jointly with other securities from other accounts, for any amount due and demandable in your favor in any of my accounts, or for even a greater amount, without retaining in your possession or control the same amount of similar securities.

3. In case I fail to pay the purchase price in full within three (3) business days, or in the event of my death, or whenever in your sole discretion you consider it necessary for your protection, I authorize your company to sell any or all securities in my individual and joint accounts which are pledged as collateral for the payment of my liabilities to your company. For this purpose, I warrant that all such securities are free from any lien or encumbrance. In the event that there are liens or encumbrances preventing subsequent and effective negotiation of the said securities, your company may buy back the said securities and collect from me whatever amount it is entitled as a result of such of such buy back.

Furthermore, I authorize your company to buy securities to cover for any short selling in my account(s), to transfer funds or securities from any of my account(s) to another and to close outstanding obligations.

4. For the purpose of selling, buying or performing other acts pursuant to this agreement, I appoint DA Market Securities, Inc., its successors and assigns, as my attorney-in-fact with full power and authority: (a) to sell and buy securities, or act, for any of my individual or joint account(s); (b) to negotiate and agree upon the price of securities; (c) to execute bills of sale, receipts, deeds of assignment of rights, titles and interests to the purchaser(s) thereof, and other necessary instruments or documents; and (d) to deliver and accept delivery of stock certificates or instruments of assignment, or both. For this reason, I consent to and ratify all acts and things which Da Market Securities, Inc. may do or cause to be done on my behalf and in accordance with this agreement.

5. In case I sign this agreement with other person(s), our obligations under this agreement shall be joint and several.

6. I shall not hold your company liable for any loss or damage directly or indirectly caused by government prohibitions or restrictions, exchange or market rulings, fluctuations in market prices, suspension of trading, war, public uprisings, calamities, strikes and other circumstances beyond your control or which are considered fortuitous events.

7. This agreement shall cover all accounts which I may open or reopen with your company, The terms and conditions herein stated shall likewise apply and inure to the benefit of your affiliates, successors, whether by merger, consolidation or otherwise, and assigns, including their respective agents. This agreement shall likewise bind my heirs, executors, administrators, successors and assigns.

8. Your company shall have the right to amend or modify this agreement. Any amendment shall be effective on a date to be fixed by your company, which shall not be earlier than thirty (30) days from the date the notice of such amendment was delivered to my address appearing in your records.

9. If any provision or condition under this agreement is held invalid or unenforceable by any court, tribunal or administrative body, such invalidity or unenforceability shall not affect the other provisions and conditions of this agreement. Moreover, the remaining portion of this agreement shall be interpreted and implemented as if the invalid or unenforceable provisions or conditions are not included.

10. Any of my unpaid and overdue account shall bear interest at one-tenth of one (1/10 of 1%) per centum per day or the prevailing market interest rate, whichever is higher, from the date they become delinquent or overdue. In case any litigation arises from the enforcement of this agreement, your company shall be entitled to attorney's fees and collection expenses, which shall not be less than twenty five (25%) per centum of the total amount involved. The exclusive venue for any legal action arising from this agreement shall be in the proper courts of Taguig City, Metro Manila.

11. All written communications, whether by mail, telegraph, facsimile, courier or otherwise, sent to my address which appears in your record shall constitute a valid and affective delivery, it being understood, that in the event of failure to notify your company in writing of any change in address, all communications directed and sent to my last known address appearing in your record shall also constitute a valid and effective delivery. All communications sent to my last known address shall be conclusive as to their correctness in the absence of any written objection received by your company within twenty four (24) hours from the time the communications are delivered to said address.

12. This agreement shall be in force and effect until a written notice of revocation is received by your company. In case of such revocation, this agreement shall continue to be valid and binding as to the transactions entered prior thereto.

Very truly yours,

DATE

CONFORME:

DA MARKET SECURITIES, INC.

SIGNATURE OVER PRINTED NAME

BY: _____

Referred by: _____ Salesperson : _____

DA MARKET SECURITIES, INC. Unit 1105, PSE Tower, 5 th Ave. Cor. 28 th St. Bonifacio Global City, Taguig City, Philippines, 1634		SPECIMEN SIGNATURE CARD CORPORATE	
Account Name		Account No.	
Address: <i>(No. & Street, Building, Town/District, City/Province, Postal/Zip Code, Country)</i>			
Telephone No.	TIN	Citizenship	
Signature Requirement <input type="checkbox"/> Any One <input type="checkbox"/> Any two <input type="checkbox"/> All <input type="checkbox"/> Others			
Please sign thrice (3x)			
Name & Position of Signatory No. 1		Name & Position of Signatory No. 2	
1. _____		1. _____	
2. _____		2. _____	
3. _____		3. _____	
Please sign thrice (3x)			
Name & Position of Signatory No. 3		Name & Position of Signatory No. 4	
1. _____		1. _____	
2. _____		2. _____	
3. _____		3. _____	
Please sign thrice (3x)			
Name & Position of Signatory No. 5		Name & Position of Signatory No. 6	
1. _____		1. _____	
2. _____		2. _____	
3. _____		3. _____	
IMPORTANT: Pursuant to the regulations of the Securities and Exchange Commission no certificate can be issued to a buyer unless he/she signs and indicates his citizenship on this card, with respect to street certificates, the citizenship of the beneficial owner and not of the broker should be indicated. Please fill up and return two (2) copies of this card to DA Market Securities Inc. (DMSI)		Signature verified by: DA MARKET SECURITIES, INC.	

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Account Name		Account No.	
Address: <i>(No. & Street, Building, Town/District, City/Province, Postal/Zip Code, Country)</i>			
Telephone No.	TIN	Citizenship	
Signature Requirement <input type="checkbox"/> Any One <input type="checkbox"/> Any two <input type="checkbox"/> All <input type="checkbox"/> Others			
Please sign thrice (3x)			
Name & Position of Signatory No. 1		Name & Position of Signatory No. 2	
1. _____		1. _____	
2. _____		2. _____	
3. _____		3. _____	
Please sign thrice (3x)			
Name & Position of Signatory No. 3		Name & Position of Signatory No. 4	
1. _____		1. _____	
2. _____		2. _____	
3. _____		3. _____	
Please sign thrice (3x)			
Name & Position of Signatory No. 5		Name & Position of Signatory No. 6	
1. _____		1. _____	
2. _____		2. _____	
3. _____		3. _____	
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