



## DATA PRIVACY POLICY

### 1. PURPOSE AND SCOPE

DA MARKET SECURITIES, INC. (DMSI) collection of Personal Data is done by lawful means and for lawful purpose and is directly related and necessary to the nature, functions, and purposes of the Company as a securities broker.

DMSI with the aim to live up and promote the culture of privacy within the organization complies and dedicated to carry out the mandatory requirements of Data Privacy to safeguard and preserve your “Personal Data” refers to both personal information and sensitive personal information.

### 2. PERSONAL DATA THAT DMSI COLLECT AND PROCESS

When you apply for an account or avail for the use of DMSI’s services as a securities broker, DMSI shall collect or use your personal information, such as but not limited to :

- a) Full Name
- b) Nationality
- c) Gender
- d) Date and Place of birth
- e) Civil Status
- f) Permanent and Present Address/es
- g) Education
- h) Contact details (mobile, landline and Email address)
- i) Tax Identification No. (TIN) and other government -issued identification numbers (SSS or GSIS)
- j) Previous employment information
- k) Employment and business information
- l) Financial Information and Investment Profile (such as assets, income, net worth, sources of income and Investment experience)
- m) Name of spouse
- n) Bank account details
- o) Specimen Signature and
- p) Other personal Information authorized and mandated by law to be collected such as but not limited to Anti-Money Laundering Act of 2001 (AMLA), Philippine Stock Exchange (PSE), Capital Markets Integrity Corp. (CMIC) and Securities and Exchange Commission (SEC)

### 3. USE AND PURPOSE OF THE COLLECTION

DMSI uses your personal data collected for various purposes, including but not limited to the following;

- a) Know-Your-Customer (KYC) examination or procedures.
- b) Evaluation and processing of your application.
- c) Compliance with the laws, rules and regulations governing our services (AMLA, PSE, CMIC, SCCP, SEC, and PDTC and other government agencies.)
- d) for purposes of its operations as securities broker

#### **4. DATA SHARING AND RETENTION**

DMSI ensures that only authorized personnel of the company have access to your personal information.

DMSI may SHARE and DISCLOSE said information with relevant Government agencies such as (AMLA, PSE, CMIC, SEC and BIR as may be mandated by pertinent laws and regulations.

In addition, DMSI may share information but not limited to the following:

- a) Banks
- b) External auditors
- c) Local and Foreign authorities (for purpose of tax monitoring)
- d) Compliance with court
- e) Other lawful order

DMSI may retain, process, update and / or share your personal information for as long as necessary for the fulfillment of the purpose for which it was collected and such other purposes that have consented from time to time as in compliance with relevant rules and regulations.

#### **5. DATA PRIVACY RIGHTS**

Pursuant to the Data Privacy Act of 2012, (RA 10173) and its Implementing Rules and Regulations (IRR), DMSI also joins the privacy march to meet the full extent of data privacy and protection, exercise compliance and demonstrate respect of your rights defined in Sec. 16. Rights of the Data Subject. Namely;

- a) the right to be informed
- b) right to access
- c) right to object
- d) right to erasure or blocking
- e) right to damages
- f) right to file a complaint
- g) right to rectify
- h) the right to data portability

By providing your Personal Data to DMSI, you acknowledge that you have read, understood and agree to all the terms as set forth in this Privacy Statement.

Should you have any questions and or concerns regarding this Privacy Statement, DMSI's use of your Personal DATA, or your rights in relation thereto under the Data Privacy Act of 2012, please do not hesitate to contact our Data Protection Officer at the following No. (+632)8887-5457: (+63917)8633119 or email [fmcaling@houseofdavidgroup.ph](mailto:fmcaling@houseofdavidgroup.ph).